PATENT APPLICATION

ATTORNEY DOCKET NO. 200313592-1

105 Box 272400 Bort Collins, Solorado 80527-2400

IN THE

UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s):

Darwin Mitchel Hanks et al

Confirmation No.: 8422

Examiner:Not Yet Assigned

Group Art Unit: 2655

Application No.: 10/661394

Filing Date:

Sep 12, 2003

Title:

Optical Disk Drive Focusing Apparatus

Mail Stop Amendment **Commissioner for Patents** PO Box 1450 Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

(x) under 37 CFR 1.97(b), or (Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last) () under 37 CFR 1.97 (c) together with either a:

() Statement under 37 CFR 1.97(e), or

- () a \$180.00 fee under 37 CFR 1.17(p), or
 - (After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

() under 37 CFR 1.97 (d) together with a:

- () Statement under 37 CFR 1.97(e)(1) or (2), and
- () a \$180.00 fee set forth in 37 CFR 1.17(p). (Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account 08-2025 the sum of \$0.00 . At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 08-2025 pursuant to 37 CFR 1.25.

- Applicant(s) submit herewith Form PTO 1449 Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.
- A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

(X) I hereby certify that this correspondence is being, . deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Alexandria, VA 22313-1450. Date of Deposit: May

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Respectfully submitted,

Darwin Mitchel Hanks et al

Robert C. Sismilich

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PTO/SB/08A (08-03)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of

Complete if Known				
Application Number	10/661394	· ·=		
Filing Date	09/12/2003			
First Named Inventor	Hanks et al.			
Art Unit	2655			
Examiner Name	Not Yet Assigned			
Attorney Docket Number	200313592-1			

U. S. PATENT DOCUMENTS					
Examiner Cite Document Number Initials* No.1 Number-Kind Code ^{2 (d known)}		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
		US- 6,584,048	06-24-2003	Tateishi et al.	
	-	US- 2003-0123344	07-03-2003	Fujiune et al.	· · · · · · · · · · · · · · · · · · ·
· 		US- 2002-0191517	12-19-2002	Honda et al	
		US-	12-13-2002	Tionda et al.	
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		FOREIG	ON PATENT DOCU	JMENTS :		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	T°
		WO Search Report			:	
		PCT/US2004/027950	04-20-2005	HP		
,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,		EP0805439	11-05-1997	Eastman Kodak	1	
		EP1341163	09-03-2003	Fujitsu		
		EP1017044	07-05-2000	STMicroelectron		

Examiner	 Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Senter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. Applicant is to place a check mark here if English language Translation is attached.

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